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20427 U.S. PTO

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PTO/SB/ (01/01)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2003\_1915A

First Named Inventor : Jitsuko YAMAGUCHI et al.

Title: RIMLESS EYEGLASSES AND PARTS THEREOF

Express Mail Label No.:

112933 U.S. PTO  
10/754721**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Small Entity Status is hereby asserted.
3. ☒ Specification [Total Pages: 13]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Sequence Listing, a table, or a computer program listing appendix.
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 16]
5. ☒ Oath or Declaration [Total Pages : 3]
- a.1. ☒ Newly executed (original or copy)
- a.2. ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet (see 37 CFR 1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table or computer program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ The paper and computer readable copies are identical

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.

Prior Application Information: Examiner Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

CUSTOMER NO.

000513

By:

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January 12, 2004

**BEST AVAILABLE COPY**

ATTY DOCKET #: 2003\_1915A

Due Date: February 17, 2004

OUR REF: 2003\_1915A/CRW/00240

Applicant: Jitsuko YAMAGUCHI et al.

Serial No.: NEW

Filing Date: January 12, 2004

1. RIMLESS EYEGLASSES AND PARTS THEREOF

**Receipt of the following papers is acknowledged:**

1. Utility Patent Application Transmittal (37 CFR 1.53(b))
2. Patent Office Fee Transmittal Form [in duplicate]
3. Specification comprising 13 pages, including claims and abstract
4. 16 sheets drawings (A4P, Figs. 1(a)-20)
5. Executed Declaration and Power of Attorney
6. Recordation Form Cover Sheet, and Assignment
7. Preliminary Amendment to Reduce PTO Filing Fee
8. Claim of Priority Under 35 USC 119, and certified copies of Japanese Patent Application Nos. 2003-037858 and 2003-196463
9. Check in the amount of \$425.00

16018 U.S. PTO  
10/754721



011204

Date: January 12, 2004

Attorney: CRW/asd

[Check No. 59686]

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Jitsuko YAMAGUCHI et al. :  
Serial No. NEW : Attn: APPLICATION BRANCH  
Filed January 12, 2004 : Attorney Docket No. 2003\_1915A  
RIMLESS EYEGLASSES AND PARTS  
THEREOF

PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$425.00 to cover Patent Office fees relating to filing the following attached papers:


New application ..... \$385.00  
Assignment for Recordal ..... \$ 40.00  
Additional Claims Fee:  
Excess of Twenty ..... \$  
Independent ..... \$  
Multiple Dependent Fee ..... \$

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Jitsuko YAMAGUCHI et al.

By   
Charles R. Watts  
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January 12, 2004

[Check No. \_\_\_\_\_]

2003\_1915A

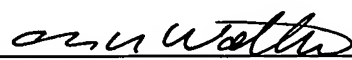
Also enclosed is a copy of a PTO date-stamped postal receipt card showing that the PTO in fact received the original application papers on January 12, 2004, together with a check in the amount of \$425.00 to cover the filing fee (\$385.00) and Assignment recordation (\$40.00). A copy of the Assignment is not being re-submitted, since the Assignment has been properly recorded and returned to Applicants together with a Notice of Recordation of Assignment Document.

In addition to the papers originally filed on January 12, 2004, also submitted herewith are copies of First and Second Requests for Official Filing Receipt, filed May 19, 2005 and July 12, 2005.

In view of the above, it is respectfully requested that the PTO issue an Official Filing Receipt on an expedited basis.

Respectfully submitted,

Jitsuko YAMAGUCHI et al.

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August 10, 2005